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# Infinitesimal Carleson property for weighted measures induced by analytic self-maps of the unit disk

Daniel Li, Hervé Queffélec, Luis Rodríguez-Piazza

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**Abstract.** We prove that, for every  $\alpha > -1$ , the pull-back measure  $\varphi(\mathcal{A}_{\alpha})$  of the measure  $d\mathcal{A}_{\alpha}(z) = (\alpha+1)(1-|z|^2)^{\alpha} d\mathcal{A}(z)$ , where  $\mathcal{A}$  is the normalized area measure on the unit disk  $\mathbb{D}$ , by every analytic self-map  $\varphi \colon \mathbb{D} \to \mathbb{D}$  is not only an  $(\alpha+2)$ -Carleson measure, but that the measure of the Carleson windows of size  $\varepsilon h$  is controlled by  $\varepsilon^{\alpha+2}$  times the measure of the corresponding window of size h. This means that the property of being an  $(\alpha+2)$ -Carleson measure is true at all infinitesimal scales. We give an application by characterizing the compactness of composition operators on weighted Bergman-Orlicz spaces.

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**Key-words.** Calderón-Zygmund decomposition ; Carleson measure ; weighted Bergman space

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#### 1 Introduction and notation

It is well-known that every analytic self-map  $\varphi \colon \mathbb{D} \to \mathbb{D}$  induces a bounded composition operator  $f \mapsto C_{\varphi}(f) = f \circ \varphi$  from the Bergman space  $\mathfrak{B}^2$  into itself. By Hastings's version of the Carleson inclusion theorem ([4]), that means that the pull-back measure  $\mathcal{A}_{\varphi}$  of the normalized area measure  $\mathcal{A}$  by  $\varphi$  is a 2-Carleson measure, that is, for some constant C > 0,

$$\mathcal{A}(\{z \in \mathbb{D}; \ \varphi(z) \in W(\xi, \varepsilon)\}) \le C \varepsilon^2$$

for every  $\varepsilon \in (0,1)$  and every  $\xi \in \mathbb{T}$ , where  $W(\xi,\varepsilon)$  is the Carleson window centered at  $\xi$  and of size  $\varepsilon$ . It was proved in [6], Theorem 3.1, that one actually

has an infinitesimal version of this property, namely, for some constant C>0:

$$(1.1) \quad \mathcal{A}(\lbrace z \in \mathbb{D}; \ \varphi(z) \in W(\xi, \varepsilon h)\rbrace) \leq C \,\mathcal{A}(\lbrace z \in \mathbb{D}; \ \varphi(z) \in W(\xi, h)\rbrace) \,\varepsilon^2,$$

for every  $\varepsilon \in (0,1)$  and h > 0 small enough.

Now, consider, for  $\alpha > -1$ , the weighted Bergman space  $\mathfrak{B}^2_{\alpha}$ . By Littlewood's subordination principle, every analytic self-map  $\varphi$  of  $\mathbb D$  induces a bounded composition operator  $C_{\varphi}$  from  $\mathfrak{B}^2_{\alpha}$  into itself (see [8], Proposition 3.4). By Stegenga's version of the Carleson theorem ([9], Theorem 1.2), that means that the pullback measure of  $\mathcal{A}_{\alpha}$  (see (1.3) below) by  $\varphi$  is an  $(\alpha + 2)$ -Carleson measure. Our goal in this paper is to show the analog of (1.1) in the following form.

**Theorem 1.1** For each  $\alpha > -1$ , there exists a constant  $C_{\alpha} > 0$  such that, for every analytic self-map of the unit disk  $\varphi \colon \mathbb{D} \to \mathbb{D}$ , every  $\varepsilon \in (0,1)$  and every h > 0 small enough, one has, for every  $\xi \in \mathbb{T}$ :

(1.2) 
$$\mathcal{A}_{\alpha}(\{z \in \mathbb{D} ; \varphi(z) \in W(\xi, \varepsilon h)\}) \leq C_{\alpha} \varepsilon^{\alpha+2} \mathcal{A}_{\alpha}(\{z \in \mathbb{D} ; \varphi(z) \in W(\xi, h)\}).$$

It should be stressed that the heart of the proof given in [6] in the case  $\alpha = 0$  cannot be directly used for the other  $\alpha > -1$ , and we have to change it, justifying the current paper. Moreover, the present proof is simpler than that of [6]. We also pointed out that the result holds in the limiting case  $\alpha = -1$ , corresponding to the Hardy space  $H^2$  ([5], Theorem 4.19), but the proof is different, due to the fact that one uses the normalized Lebesgue measure on  $\mathbb T$  and the boundary values of  $\varphi$  instead of measures on  $\mathbb D$  and the function  $\varphi$  itself.

We end the paper by an application to the compactness of composition operators on weighted Bergman-Orlicz spaces.

Another application of Theorem 1.1 is given in [7].

**Notation.** In this paper,  $\mathbb{D}=\{z\in\mathbb{C}\,;\;|z|<1\}$  denotes the open unit disk of the complex plane  $\mathbb{C}$ , and  $\mathbb{T}=\partial\mathbb{D}$  is the unit circle. The normalized area measure  $\frac{dx\,dy}{\pi}$  is denoted by  $\mathcal{A}$ .

For  $\alpha > -1$ , the weighted Bergman space  $\mathfrak{B}^2_{\alpha}$  is the space of all analytic functions  $f(z) = \sum_{n=0}^{\infty} a_n z^n$  on  $\mathbb{D}$  such that

$$||f||_{\alpha}^2 := \int_{\mathbb{D}} |f(z)|^2 d\mathcal{A}_{\alpha}(z) < +\infty,$$

where  $\mathcal{A}_{\alpha}$  is the weighted measure

$$(1.3) d\mathcal{A}_{\alpha}(z) = (\alpha + 1)(1 - |z|^2)^{\alpha} d\mathcal{A}(z).$$

The Carleson window centered at  $\xi \in \mathbb{T}$  and of size h, 0 < h < 1, is the set

$$W(\xi, h) = \{ z \in \mathbb{D} ; |z| \ge 1 - h \text{ and } |\arg(z\overline{\xi})| \le h \}.$$

A measure  $\mu$  on  $\mathbb{D}$  is called an  $\alpha$ -Carleson measure ( $\alpha \geq -1$ ) if

$$\sup_{|\xi|=1} \mu[W(\xi, h)] = O_{h \to 0} (h^{\alpha}).$$

Actually, instead of the Carleson window  $W(\xi, h)$ , we shall merely use the sets

$$S(\xi, h) = \{ z \in \mathbb{D} ; |z - \xi| \le h \},$$

which have essentially the same size, so  $\mu$  is an  $\alpha$ -Carleson measure if and only if  $\sup_{|\xi|=1} \mu[S(\xi,h)] = O_{h\to 0}(h^{\alpha})$ .

We denote by  $\Pi^+$  the right-half plane

(1.4) 
$$\Pi^{+} = \{ z \in \mathbb{C} : \Re z > 0 \}.$$

To avoid any misunderstanding, we denote by A the area measure on  $\Pi^+$ , and not this measure divided by  $\pi$ .

Let  $T: \mathbb{D} \to \Pi^+$  be the conformal map defined by:

(1.5) 
$$T(z) = \frac{1-z}{1+z};$$

we denote by  $\tau_{\alpha} = T(\mathcal{A}_{\alpha})$  the pull-back measure defined by:

(1.6) 
$$\tau_{\alpha}(B) = \mathcal{A}_{\alpha}[T^{-1}(B)]$$

for every Borel set B of  $\Pi^+$ . This is a probability measure on  $\Pi^+$ .

We also need another measure  $\mu_{\alpha}$  on  $\Pi^+$ , defined by:

$$(1.7) d\mu_{\alpha} = x^{\alpha} dx dy.$$

Given two measures  $\mu$  and  $\nu$ , we shall write  $\mu \sim \nu$  when the Radon-Nikodým derivative  $\frac{d\mu}{d\nu}$  is bounded from above and from below.

The pseudo-hyperbolic distance  $\rho'$  on  $\mathbb{D}$  is given by

(1.8) 
$$\rho'(z,w) = \left| \frac{z - w}{1 - \bar{z}w} \right|, \qquad z, w \in \mathbb{D}.$$

For every  $z \in \mathbb{D}$  and  $r \in (0,1)$ ,

$$\Delta'(z,r) = \{ w \in \mathbb{D} \; ; \; \rho'(w,z) < r \}$$

is called the *pseudo-hyperbolic disk* with center z and radius r. It is (see [1], [3], or [10], for example) the image of the Euclidean disk D(0,r) by the automorphism

$$\varphi_z(\zeta) = \frac{z - \zeta}{1 - \bar{z}\zeta} \, \cdot$$

The pseudo-hyperbolic distance  $\rho$  on  $\Pi^+$  is deduced by transferring the pseudo-hyperbolic distance  $\rho'$  on  $\mathbb{D}$  with the conformal map T:

(1.9) 
$$\rho(a,b) = \rho'(T^{-1}a, T^{-1}b) = \left| \frac{a-b}{\bar{a}+b} \right|,$$

and, for every  $w \in \Pi^+$  and  $r \in (0,1)$ ,

$$\Delta(w,r) = \{z \in \Pi^+ \; ; \; \rho(z,w) < r\}$$

is the pseudo-hyperbolic disk of  $\Pi^+$  with center w and radius r.

Finally, we shall use the following notation:

(1.10) 
$$\Omega = (0,2) \times (-1,1).$$

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### 2 Transfer to the right half plane

As in [6], we only have to give the proof for  $\xi = 1$  and, by considering  $g = h/(1-\varphi)$ , we are boiled down to prove:

**Theorem 2.1** Let  $\alpha > -1$ . There exist constants  $K_0 > 0$ ,  $c_0 > 0$  and  $\lambda_0 > 1$  such that every analytic function  $g: \mathbb{D} \to \Pi^+$  with  $|g(0)| \leq c_0$  satisfies, for every  $\lambda \geq \lambda_0$ :

$$\mathcal{A}_{\alpha}(\{|g| > \lambda\}) \leq \frac{K_0}{\lambda \alpha + 2} \, \mathcal{A}_{\alpha}(\{|g| > 1\}) \,.$$

As said in the Introduction, this result is an infinitesimal version of the fact that the pull-back measure  $\mathcal{A}_{\alpha,\varphi}$  of  $\mathcal{A}_{\alpha}$  by any analytic self-map  $\varphi$  of  $\mathbb{D}$  is an  $(\alpha+2)$ -Carleson measure. In fact, one has the following result.

**Proposition 2.2** There is some constant  $C = C_{\alpha} > 0$  such that

(2.1) 
$$\mathcal{A}_{\alpha}(\{|g| > \lambda\}) \le \frac{C}{\lambda^{\alpha+2}} |g(0)|^{\alpha+2}$$

for every analytic function  $g: \mathbb{D} \to \Pi^+$  and every  $\lambda > 0$ .

The goal is hence to replace in the right-hand side the quantity  $|g(0)|^{\alpha+2}$  by  $\mathcal{A}_{\alpha}(\{|g|>1\})$ .

**Proof of Proposition 2.2.** We may assume that |g(0)| = 1. Hence we may assume that  $\lambda > 2$ , taking  $C \ge 2^{\alpha+2}$ , because  $\mathcal{A}_{\alpha}(\{|g| > \lambda\}) \le 1$ .

Set 
$$\varphi(z) = [g(z) - g(0)]/[g(z) + \overline{g(0)}]$$
. Then  $|g(z)| > \lambda$  implies that

$$|\varphi(z) - 1| = 2 |\Re g(0)|/|g(z) + \overline{g(0)}| \le 2/(\lambda - 1) \le 4/\lambda$$
.

But  $\varphi$  maps  $\mathbb{D}$  into itself, so the measure  $\mathcal{A}_{\alpha,\varphi}$  is an  $(\alpha + 2)$ -Carleson measure and (see the proof of [9], Theorem 1.2)

$$\mathcal{A}_{\alpha}(\{|g| > \lambda\}) \leq \mathcal{A}_{\alpha,\varphi}[S(1,4/\lambda)] \leq C_{\alpha}' \|C_{\varphi}\|^2 / (\lambda/4)^{\alpha+2},$$

where  $\|C_{\varphi}\|$  is the norm of the composition operator  $C_{\varphi} \colon \mathfrak{B}^{2}_{\alpha} \to \mathfrak{B}^{2}_{\alpha}$ . But  $\varphi(0) = 0$  and hence  $\|C_{\varphi}\| = 1$ , by using Littlewood's subordination principle and integrating.

For technical reasons, that we shall explain after Lemma 3.4, we need to work with functions defined on  $\Pi^+$ . Proposition 2.2 becomes:

**Proposition 2.3** There exists a constant  $C = C_{\alpha} > 0$  such that, for every analytic function  $f \colon \Pi^+ \to \Pi^+$ , one has:

(2.2) 
$$\tau_{\alpha}(\{|f| > \lambda\}) \le \frac{C}{\lambda^{\alpha+2}} |f(1)|^{\alpha+2}.$$

**Proof.** Set  $E_f(\lambda) = \{|f| > \lambda\}$  and define similarly  $E_g(\lambda) = \{|g| > \lambda\}$  where  $g = f \circ T \colon \mathbb{D} \to \Pi^+$ . We have g(0) = f(1) as well as the simple but useful equation:

(2.3) 
$$T^{-1}[E_f(\lambda)] = E_g(\lambda).$$

So that, by Proposition 2.2:

$$\tau_{\alpha}[E_f(\lambda)] = \mathcal{A}_{\alpha}[T^{-1}(E_f(\lambda))] = \mathcal{A}_{\alpha}[E_g(\lambda)]$$

$$\leq \frac{C}{\lambda^{\alpha+2}}|g(0)|^{\alpha+2} = \frac{C}{\lambda^{\alpha+2}}|f(1)|^{\alpha+2},$$

and Proposition 2.3 is proved.

Now, to prove Theorem 2.1, it suffices to prove that, when one localizes f on  $\Omega$ , one may replace the quantity |f(1)| in the right-hand side of (2.2) by  $\tau_{\alpha}(\{|f|>1\}\cap\Omega)$ . This is what is claimed in the next result.

**Theorem 2.4** There exist constants  $K = K_{\alpha} > 0$ ,  $c_1 > 0$  and  $\lambda_1 > 1$  such that every analytic function  $f: \Pi^+ \to \Pi^+$  such that  $|f(1)| \le c_1$  satisfies, for every  $\lambda \ge \lambda_1$ :

$$\tau_{\alpha}(\{|f| > \lambda\} \cap \Omega) \le \frac{K}{\lambda^{\alpha+2}} \tau_{\alpha}(\{|f| > 1\} \cap \Omega).$$

We shall prove Theorem 2.4 in the next section, but before, let us see why it gives Theorem 2.1 and hence our main result, Theorem 1.1.

**Proof of Theorem 2.1.** Let  $E \colon \Pi^+ \to \mathbb{D}$  be the exponential map defined by

$$(2.4) E(z) = e^{-\pi z},$$

which (up to a radius) maps bijectively  $\Omega$  onto the annulus

(2.5) 
$$U = \{ z \in \mathbb{D} ; |z| > e^{-2\pi} \}.$$

For every  $g: \mathbb{D} \to \Pi^+$  with  $|g(0)| \le (1-\beta)/(1+\beta)$  and  $0 < \beta < 1$ , one has, by Schwarz's lemma (see [6], eq. (3.9)):

$$|g(z)| > 1 \implies |z| > \beta$$
.

Therefore we only have to work on the annulus U, taking  $c_0 \leq \tanh \pi$  in Theorem 2.1.

Let  $L = E^{-1}$  be the inverse map of the restriction of E to  $\Omega$ , and

(2.6) 
$$\sigma_{\alpha} = L(\mathcal{A}_{\alpha})$$

be the pull-back measure of  $\mathcal{A}_{\alpha}$  by L. This measure is carried by  $\Omega$  and we have:

**Lemma 2.5** On  $\Omega$ , one has:  $\sigma_{\alpha} \sim \mu_{\alpha} \sim \tau_{\alpha}$ .

Taking this lemma for granted for a while, let us finish the proof of Theorem 2.1 (the measure  $\mu_{\alpha}$  does not come into play here). Let  $g\colon \mathbb{D} \to \Pi^+$  be an analytic function and  $f=g\circ E\colon \Pi^+\to \Pi^+$  (so that  $g=f\circ L$  on  $E(\Omega)$ ). We have  $|f(1)|\leq c_1$  if  $|g(0)|\leq c_0$ , with  $c_0>0$  small enough. In fact, the analytic function  $h=T\circ g$  maps  $\mathbb{D}$  into itself and hence, by the Schwarz-Pick inequality, h is a contraction for the pseudo-hyperbolic distance on  $\mathbb{D}$  (see [1], eq. (3.3), page 18, for example); hence  $\rho'[h(e^{-\pi}),h(0)]\leq \rho'(e^{-\pi},0)=e^{-\pi}$ , that is  $\left|\frac{g(e^{-\pi})-g(0)}{g(e^{-\pi})+g(0)}\right|\leq e^{-\pi}$ . It follows that  $|g(e^{-\pi})|-|g(0)|\leq e^{-\pi}\left[|g(e^{-\pi})|+|g(0)|\right]$ , i.e.  $|g(e^{-\pi})|\leq \frac{1}{\tanh\pi}|g(0)|$ . Therefore  $|f(1)|=|g(e^{-\pi})|\leq c_1$  if  $|g(0)|\leq c_0$  with  $c_0\leq c_1$  tanh  $\pi$ .

Set:

$$E_g(\lambda) = \{|g| > \lambda\} \cap U$$
 and  $E_f(\lambda) = \{|f| > \lambda\} \cap \Omega$ .

Observe that, as in (2.3),

$$L^{-1}[E_f(\lambda)] = E_g(\lambda)$$
 and  $E^{-1}[E_g(1)] = E_f(1)$ .

Hence, in view of Theorem 2.4 and Lemma 2.5:

$$\mathcal{A}_{\alpha}[E_{g}(\lambda)] = \mathcal{A}_{\alpha}(L^{-1}[E_{f}(\lambda)]) = \sigma_{\alpha}[E_{f}(\lambda)]$$

$$\leq \frac{K'_{\alpha}}{\lambda^{\alpha+2}} \sigma_{\alpha}[E_{f}(1)] = \frac{K'_{\alpha}}{\lambda^{\alpha+2}} \sigma_{\alpha}(E^{-1}[E_{g}(1)])$$

$$= \frac{K'_{\alpha}}{\lambda^{\alpha+2}} (E\sigma_{\alpha})[E_{g}(1)] = \frac{K'_{\alpha}}{\lambda^{\alpha+2}} \mathcal{A}_{\alpha}[E_{g}(1)],$$

which is exactly what we wanted to prove.

**Proof of Lemma 2.5.** Let us compute  $\sigma_{\alpha}$  with the change of variable  $w = E^{-1}(z)$ . One has z = E(w) and

$$dA(z) = |E'(w)|^2 \frac{dA(w)}{\pi} = \frac{1}{\pi} e^{-2\pi \Re w} dA(w).$$

We get:

$$\int_{\Omega} h(w) d\sigma_{\alpha}(w) = \int_{U} h(Lz) d\mathcal{A}_{\alpha}(z) = (\alpha + 1) \int_{U} h(E^{-1}z) (1 - |z|^{2})^{\alpha} d\mathcal{A}(z)$$
$$= \frac{\alpha + 1}{\pi} \int_{\Omega} h(w) e^{-2\pi \Re w} (1 - e^{-2\pi \Re w})^{\alpha} dA(w),$$

so that

(2.7) 
$$d\sigma_{\alpha}(w) = \frac{\alpha+1}{\pi} e^{-2\pi \Re e w} (1 - e^{-2\pi \Re e w})^{\alpha} \mathbb{I}_{\Omega}(w) dA(w).$$

Thus, on  $\Omega$ , we have  $\sigma_{\alpha} \sim \mu_{\alpha}$ . Indeed, the factor  $e^{-2\Re e w}$  is bounded from below and from above, and  $(1 - e^{-2\Re e w})^{\alpha} \sim (\Re e w)^{\alpha}$  as  $\Re e w$  goes to 0. This proves the first equivalence of Lemma 2.5.

To prove the second equivalence, we use the change of variable formula z=Tw in

$$\int_{\Omega} h(u) d\tau_{\alpha}(u) = \int_{U} h(Tz) d\mathcal{A}_{\alpha}(z);$$

it gives  $d\tau_{\alpha}(w) = |T'(w)|^2 (1 - |T(w)|^2)^{\alpha} (\alpha + 1) dA(w) / \pi$ , i.e.:

(2.8) 
$$d\tau_{\alpha}(w) = \frac{4^{\alpha+1}(\alpha+1)}{\pi} \frac{(\Re e \, w)^{\alpha}}{|1+w|^{2(\alpha+2)}} \, \mathbb{I}_{\Omega}(w) \, dA(w),$$

showing that  $\mu_{\alpha} \sim \tau_{\alpha}$  on  $\Omega$ .

#### 3 Proof of Theorem 2.4

Let us split, up to a set of measure 0, the square  $\Omega$  into dyadic sub-squares

(3.1) 
$$Q_l = \left(\frac{2j}{2^n}, \frac{2(j+1)}{2^n}\right) \times \left(\frac{2k}{2^n} - 1, \frac{2(k+1)}{2^n} - 1\right)$$

of center

(3.2) 
$$c_l = \frac{2j+1}{2^n} + i\left(\frac{2k+1}{2^n} - 1\right),$$

with  $n \ge 0$  ,  $0 \le j, k \le 2^n - 1$  and where l = (n, j, k).

Note that  $\Omega = Q_{(0,0,0)}$ . We are going to use the special form of the measure  $\tau_{\alpha}$ , taken in (2.8), to get a localized version of Proposition 2.3 as follows.

**Proposition 3.1** There is a constant  $C_{\alpha} > 0$  such that, for any analytic function  $f: \Pi^+ \to \Pi^+$  and any dyadic sub-square  $Q_l$  of  $\Omega$ , one has, for any  $\lambda > 0$ :

(3.3) 
$$\tau_{\alpha}(\{|f| > \lambda\} \cap Q_l) \le \frac{C_{\alpha}}{\lambda^{\alpha+2}} \tau_{\alpha}(Q_l) |f(c_l)|^{\alpha+2}.$$

**Proof.** Using Lemma 2.5, we may replace the measure  $\tau_{\alpha}$  by  $d\mu_{\alpha} = x^{\alpha} dx dy$ . This measure is no longer a probability measure, but it has the advantage of being invariant under vertical translations, and, especially, to react to a dilation of positive ratio  $\lambda$  by multiplying the result by the factor  $\lambda^{\alpha+2}$ .

We first need a simple lemma.

**Lemma 3.2** For every  $0 \le s < 1$ , there exists a constant  $M_s > 0$  such that, for any analytic function  $f: \Pi^+ \to \Pi^+$  and any pseudo-hyperbolic disk  $\Delta(c, s)$  in  $\Pi^+$ , we have, for every  $z \in \Delta(c, s)$ :

(3.4) 
$$1/M_s \le |f(z)|/|f(c)| \le M_s.$$

**Proof.** By the classical Schwarz-Pick inequality, any analytic map  $f: \Pi^+ \to \Pi^+$  contracts the pseudo-hyperbolic distance  $\rho$  of  $\Pi^+$  (see [1], Section 6), so that if  $z \in \Delta(c, s)$ , one has:

$$|u| := \left| \frac{f(z) - f(c)}{f(z) + \overline{f(c)}} \right| \le \left| \frac{z - c}{z + \overline{c}} \right| \le s.$$

Inverting that relation, we get  $f(z) = \frac{u\overline{f(c)} + f(c)}{1-u}$ , whence

$$|f(z)| \le |f(c)| \frac{1+|u|}{1-|u|} \le |f(c)| \frac{1+s}{1-s}$$

and, similarly,  $|f(z)| \geq |f(c)| \frac{1-s}{1+s}$  . The lemma follows, with  $M_s = \frac{1+s}{1-s}$  ·  $\Box$ 

Let us now continue the proof of Proposition 3.1.

**Lemma 3.3** Inequality (3.3) holds when the square  $Q_l$ , of the n-th generation, does not touch the boundary of  $\Pi^+$ , namely when l = (n, j, k) with  $j \ge 1$ . More precisely, we have  $Q_l \subseteq \Delta(c_l, s)$  where s < 1 is a numerical constant.

**Proof.** Recall that  $c_l$  is the center of  $Q_l$ . We claim that we can find some numerical s < 1 such that  $Q_l \subset \Delta(c_l, s)$ . To show that claim, let l = (n, j, k) and  $z, w \in Q_l$ . We have:

$$1 - \rho(z, w)^2 = 1 - \left| \frac{z - w}{z + \bar{w}} \right|^2 = 4 \frac{\Re e \, z \, \Re e \, w}{|z + \bar{w}|^2} \, \cdot$$

But one has  $2j/2^n \le \Re e \, z$ ,  $\Re e \, w \le 2(j+1)/2^n$  whereas  $|\Im m \, (z+\bar w)| \le 2^{-n+1}$ ; hence  $\Re e \, z \, \Re e \, w \ge 4j^24^{-n}$  and  $|z+\bar w|^2 = (\Re e \, z + \Re e \, w)^2 + [\Im m \, (z+\bar w)]^2 \le 16(j+1)^24^{-n} + 4.4^{-n} \le 80j^24^{-n}$ , because  $j \ge 1$ . Therefore

$$1 - \rho(z, w)^2 \ge 4 \frac{4j^2 4^{-n}}{80j^2 4^{-n}} = \frac{1}{5},$$

so that  $\rho(z,w) \leq s = \sqrt{4/5}$ . In particular, we have  $Q_l \subseteq \Delta(c_l,s)$ .

Now, to prove (3.3), we may assume, by homogeneity (replace f by  $f/|f(c_l)|$  and  $\lambda$  by  $\lambda/|f(c_l)|$ ), that  $|f(c_l)|=1$ . We then have, by Lemma 3.2,  $|f(z)|\leq M_s|f(c_l)|=M_s$  for every  $z\in Q_l$ . Hence (3.3) trivially holds when  $\lambda>M_s$ , since then the set in the left-hand side is empty. So we assume  $\lambda\leq M_s$ . In that case, setting  $C_\alpha=M_s^{\alpha+2}$ , we have :

$$\tau_{\alpha}(\{|f| > \lambda\} \cap Q_l) \le \tau_{\alpha}(Q_l) \le \frac{C_{\alpha}}{\lambda^{\alpha+2}} \tau_{\alpha}(Q_l).$$

This is the desired inequality, since we have supposed that  $|f(c_l)| = 1$ .

**Lemma 3.4** Inequality (3.3) holds when the square  $Q_l$ , of the n-th generation, touches the boundary of  $\Pi^+$ , namely when l = (n, j, k) with j = 0.

**Proof.** This case uses the specific properties of the measure  $\mu_{\alpha}$ . In view of Lemma 2.5, we have to prove that:

(3.5) 
$$\mu_{\alpha}(\{|f| > \lambda\} \cap Q_l) \leq \frac{C_{\alpha}}{\lambda^{\alpha+2}} \,\mu_{\alpha}(Q_l) \,|f(c_l)|^{\alpha+2},$$

when the square  $Q_l \subseteq \Omega$  is supported by the imaginary axis. We may again assume that  $|f(c_l)| = 1$ , and we proceed in three steps.

- 1) First, (3.5) holds if  $Q_l = Q_{(0,0,0)} = \Omega$ : this is just what we have proved in Proposition 2.3 with (2.2).
- 2) For h > 0, (3.5) holds when  $Q_l = h\Omega = (0, 2h) \times (-h, h)$  is a square meeting the imaginary axis in an interval (-h, h) centered at 0. Indeed, setting  $E_f(\lambda) = \{|f| > \lambda\}$  as well as  $f_h(z) = f(hz)$ , we easily check that

$$(3.6) E_f(\lambda) \cap h \Omega = h \left[ E_{f_h}(\lambda) \cap \Omega \right].$$

For example, if  $v \in E_{f_h}(\lambda) \cap \Omega$ , one has  $|f(hv)| > \lambda$  and hence  $w = hv \in E_f(\lambda) \cap h\Omega$ , giving one inclusion in (3.6); the other is proved similarly. Using the already mentioned  $(\alpha+2)$ -homogeneity of the measure  $\mu_{\alpha}$ , we obtain, using (2.2) for  $f_h$ :

$$\mu_{\alpha}[E_{f}(\lambda) \cap h\Omega] = \mu_{\alpha}[h(E_{f_{h}}(\lambda) \cap \Omega)] = h^{\alpha+2}\mu_{\alpha}[E_{f_{h}}(\lambda) \cap \Omega]$$

$$\leq h^{\alpha+2}\frac{C_{\alpha}}{\lambda^{\alpha+2}}|f_{h}(1)|^{\alpha+2} = \mu_{\alpha}(Q_{l})\frac{C'_{\alpha}}{\lambda^{\alpha+2}}|f(c_{l})|^{\alpha+2},$$

with  $C'_{\alpha} = 4^{-(\alpha+2)}(\alpha+1)C_{\alpha}$ , since the center  $c_l$  of  $Q_l = h\Omega$  is  $c_l = h$ .

3) Finally, (3.5) holds if  $Q_l$  is any square supported by the imaginary axis. Indeed, this  $Q_l$  is a vertical translate of the second case, and the measure  $\mu_{\alpha}$  is invariant under vertical translations, which exchange centers.

This ends the proof of the crucial Lemma 3.4 and thereby that of Proposition 3.1.  $\hfill\Box$ 

**Remark.** We see here why it is better to work with functions  $f \colon \Pi^+ \to \Pi^+$  instead of functions  $g \colon \mathbb{D} \to \Pi^+$ ; if the invariance of  $\mu_{\alpha}$  under vertical translations corresponds to the rotation invariance of  $\mathcal{A}_{\alpha}$ , the homogeneity of  $\mu_{\alpha}$ , used in part 2) of the proof, corresponds to an invariance by the automorphisms  $\varphi_a$  of  $\mathbb{D}$ , with real  $a \in \mathbb{D}$ , which is not shared by  $\mathcal{A}_{\alpha}$ , and writing a measure equivalent to  $\mathcal{A}_{\alpha}$  having these properties is not so simple.

In order to exploit this proposition, we need the following precisions.

**Lemma 3.5** There exist constants c > 0 and  $\delta_0 > 0$ , depending only on  $\alpha$ , such that for every l, there exists  $R_l \subseteq Q_l$  with  $\tau_{\alpha}(R_l) \geq c \tau_{\alpha}(Q_l)$  and, for every analytic map  $f \colon \Pi^+ \to \Pi^+$ ,

(3.7) 
$$|f(z)| > \delta_0 |f(c_l)| \quad \text{for every } z \in R_l.$$

**Proof.** By Lemma 2.5, it suffices to prove this lemma with  $\mu_{\alpha}$  instead of  $\tau_{\alpha}$ . Let us consider two cases.

- 1) If l=(n,j,k) with  $j\geq 1$ , we can simply take  $R_l=Q_l$ , in view of Lemma 3.2 and Lemma 3.3.
- 2) If l=(n,j,k) with j=0, we may assume that  $Q_l=\Omega=(0,2)\times(-1,1)$ , since either vertical translations or dilations of positive ratio are isometries for the pseudo-hyperbolic distance on  $\Pi^+$  and, on the other hand, multiply the  $\mu_{\alpha}$ -measure by 1 or  $h^{\alpha+2}$  respectively. It follows that  $c_l=1$ . We are going to check that  $\Delta(1,1/4)\subseteq\Omega=Q_l$ , so that we can take  $R_l=\Delta(c_l,1/4)$ . Indeed, set t=1/4; if  $|u|:=\left|\frac{z-1}{z+1}\right|\leq t$ , we have  $z=\frac{1+u}{1-u}$  and

$$\begin{split} 0 &< \Re \mathrm{e}\, z = \frac{1 - |u|^2}{|1 - u|^2} \le \frac{1 + t}{1 - t} < 2\,; \\ |\Im \mathrm{m}\, z| &= \frac{2\,|\Im \mathrm{m}\, u|}{|1 - u|^2} \le \frac{2t}{(1 - t)^2} = \frac{8}{9} < 1. \end{split}$$

Moreover, in view of Lemma 3.2, (3.7) holds with  $\delta_0 = M_t^{-1} = 3/5$ .

Finally, the claim on the measures holds with  $c = \mu_{\alpha}[\Delta(1, 1/4)]/\mu_{\alpha}(\Omega)$ .  $\square$ 

Now, we want to control mean values of f on some of the  $Q_l$ 's. In order to get that, we have to do a Calderón-Zygmund decomposition.

To that end, we need to know that the mean of |f| on  $\Omega$  is small, namely less than 1, if |f(1)| is small enough. This is the aim of the next proposition.

**Proposition 3.6** There exists a constant C > 0 such that, for every analytic function  $f: \Pi^+ \to \Pi^+$ , one has:

(3.8) 
$$|f(1)| \le \iint_{\Omega} |f(x+iy)| \, \frac{dxdy}{\pi} \le C |f(1)|.$$

Moreover, if c is the center of an open square Q contained in  $\Pi^+$ , then:

$$\frac{\pi}{4} |f(c)| \le \frac{1}{A(Q)} \int_{Q} |f(z)| \, dA(z) \le C \, \frac{\pi}{4} |f(c)| \, .$$

**Proof.** Let us see first that (3.9) follows from (3.8). Let c = a + ib (a > 0 and  $b \in \mathbb{R}$ ) be the center of the square  $Q = (a - h, a + h) \times (b - h, b + h)$ , with  $0 < h \le a$ . Consider the function  $f_1$  defined by:

$$f_1(z) = f[\phi(z)]$$
, where  $\phi(z) = hz - h + a + ib$ .

Observe that  $\phi \colon \Pi^+ \to \Pi^+$  is an affine transformation sending 1 onto c and that  $\phi(\Omega) = Q$ . Applying (3.8) to  $f_1$  gives:

$$\frac{\pi}{4} |f_1(1)| \le \frac{1}{A(\Omega)} \int_{\Omega} |f_1(z)| dA(z) \le C \frac{\pi}{4} |f_1(1)|.$$

This yields (3.9) using an obvious change of variable and  $f_1(1) = f(c)$ .

The left-hand side inequality in (3.8) is due to subharmonicity: consider the open disk D of center 1 and radius 1; then  $D \subseteq \Omega$  and, |f| being subharmonic, we have:

$$|f(1)| \le \frac{1}{\pi} \iint_D |f(x+iy)| \, dx dy \le \frac{1}{\pi} \iint_\Omega |f(x+iy)| \, dx dy.$$

We now prove the right-hand side inequality. Using Lemma 2.3 and the fact that  $\mu_0 \sim \tau_0$  on  $\Omega$  (note that  $\mu_0$  is just the area measure A on  $\Pi^+$ ), we have the existence of a constant  $\kappa > 0$  such that, for all  $\lambda > 0$ :

(3.10) 
$$\mu_0(\{|f| > \lambda\} \cap \Omega) \le \frac{\kappa}{\lambda^2} |f(1)|^2.$$

From this estimate (3.10), we can control the integral of |f| over  $\Omega$  (recall that  $\mu_0(\Omega) = 4$ ):

$$\int_{\Omega} |f| \, d\mu_0 = \int_0^{+\infty} \mu_0(\{|f| > \lambda\} \cap \Omega) \, d\lambda$$

$$\leq 4 \, |f(1)| + \int_{|f(1)|}^{+\infty} \frac{\kappa \, |f(1)|^2}{\lambda^2} \, d\lambda = (4 + \kappa) \, |f(1)|.$$

The proposition follows.

**Remark.** We do not know if the constant  $\pi/4$  in the left-hand side of (3.9) can be replaced by a better constant; however, it is not possible to replace this factor  $\pi/4$  by 1. Let us see an example.

Let us define  $f(z) = \exp((Tz)^4)$  where Tz = (1-z)/(1+z). Recall that T sends  $\Pi^+$  to the unit disk  $\mathbb{D}$ , and therefore  $f(z) \in \Pi^+$ , for every  $z \in \Pi^+$  because  $|\arg(\exp w)| < 1 < \pi/2$ , for all  $w \in \mathbb{D}$ .

Now let Q be the unit square  $Q = (-1,1) \times (-1,1)$ . For  $0 < t \le 1/2$ , let  $Q_t$  be the square, centered in 1,  $Q_t = (1-t,1+t) \times (-t,t)$ , which is contained in  $\Pi^+$ , and define

$$\sigma(t) = \frac{1}{A(Q_t)} \int_{Q_t} |f(z)| \, dA(z) = \frac{1}{4t^2} \int_{-t}^t \left[ \int_{1-t}^{1+t} |f(x+iy)| \, dx \right] dy \, .$$

Using a change of variable we have:

$$\sigma(t) = \frac{1}{4} \iint_{O} |f(1+tx+ity)| dx dy.$$

We are going to prove that there exists t such that  $\sigma(t) < 1 = |f(1)|$  and the average of |f| in the cube  $Q_t$  is smaller than |f(1)|. Now observe that

$$f(z) = \frac{1}{16}(z-1)^4 + O((z-1)^5), \qquad z \to 1.$$

Consequently, there exists a constant C > 0 such that, for  $z \in Q_{1/2}$ ,

$$\Re (f(z)) \le \frac{1}{16} \Re ((z-1)^4) + C|z-1|^5$$

and then, there exists  $C_1 > 0$ , such that for every  $x + iy \in Q$  and  $t \in (0, 1/2)$ ,

$$|f(1+tx+ity)| \le \exp\left[\frac{1}{16}\Re\left(t^4(x+iy)^4\right) + C_1t^5\right]$$
$$= \exp\left[\frac{t^4}{16}\left(x^4 + y^4 - 6x^2y^2\right) + C_1t^5\right].$$

Integrating over Q, putting:

$$\tau(s) = \frac{1}{4} \int_{-1}^{1} \int_{-1}^{1} \exp((s/16)(x^4 + y^4 - 6x^2y^2) + C_1 s^{5/4}) dx dy,$$

we get that  $\sigma(t) \leq \tau(t^4)$ , for  $t \in (0, 1/2]$ . We just need to prove that, for s > 0 close enough to 0, we have  $\tau(s) < 1$ . But this is easy because  $\tau(0) = 1$ , and

$$\tau'(0) = \frac{1}{4} \int_{-1}^{1} \int_{-1}^{1} \frac{1}{16} (x^4 + y^4 - 6x^2y^2) dx dy = \frac{1}{64} \left( \frac{4}{5} + \frac{4}{5} - \frac{8}{3} \right) = -\frac{1}{60} < 0.$$

Return now to the proof of Theorem 2.4.

Consider, for every  $n \geq 0$ , the conditional expectation of the restriction to  $\Omega$  of |f| with respect to the algebra  $\mathcal{Q}_n$  generated by the squares  $Q_{(n,j,k)}$ ,  $0 \leq j,k \leq 2^n-1$  (note that  $\mathcal{Q}_n \subseteq \mathcal{Q}_{n+1}$ ):

$$(3.11) \qquad (\mathbb{E}_n|f|)(z) = \sum_{j,k=0}^{2^n-1} \left( \frac{1}{A(Q_{(n,j,k)})} \int_{Q_{(n,j,k)}} |f| \, dA \right) \mathbb{I}_{Q_{(n,j,k)}}(z) \,,$$

and the maximal function Mf is defined by:

(3.12) 
$$Mf(z) = \sup_{n} \left( \mathbb{E}_n |f| \right) (z).$$

One has

(3.13) 
$$M(f)(z) = \sup_{z \in Q_{(n,j,k)}} \frac{1}{A(Q_{(n,j,k)})} \int_{Q_{(n,j,k)}} |f| dA.$$

Since f is continuous on  $\Omega$ , one has  $\lim_{n\to\infty} \mathbb{E}_n|f|(z) = |f(z)|$  for every  $z\in\Omega$ , and it follows that:

$$(3.14) {|f| > 1} \subset {Mf > 1}.$$

Now, the set  $\{Mf > 1\} \cap \Omega$  can be split into a disjoint union

$$\{Mf>1\}\cap\Omega=\bigsqcup_{n\geq 1}Z_n\,,$$

where

$$Z_n = \{z \in \Omega ; (\mathbb{E}_n|f|)(z) > 1 \text{ and } (\mathbb{E}_j|f|)(z) \le 1 \text{ if } j < n \}.$$

(note that, by Proposition 3.6,  $\mathbb{E}_0|f| \leq 1$  if |f(1)| is small enough).

Since  $\mathbb{E}_n|f|$  is constant on the sets  $Q \in \mathcal{Q}_n$ , each  $Z_n$  can be in its turn decomposed, up to a set of measure 0, into a disjoint union  $E_n = \bigsqcup_{(j,k) \in J_n} Q_{(n,j,k)}$ .

By definition, for  $z \in \mathbb{Z}_n$ , one has  $(\mathbb{E}_n|f|)(z) \geq 1$  and hence, for  $(j,k) \in J_n$ ,

$$\frac{1}{A(Q_{(n,j,k)})} \int_{Q_{(n,j,k)}} |f| \, dA \ge 1 \qquad \text{for } z \in Q_{(n,j,k)} \, .$$

But, on the other hand,  $(\mathbb{E}_{n-1}|f|)(z) \leq 1$  for  $z \in \mathbb{Z}_n$ , and we have, if  $z \in Q_{(n,j,k)}$ :

$$(\mathbb{E}_{n}|f|)(z) = \frac{1}{A(Q_{(n,j,k)})} \int_{Q_{(n,j,k)}} |f| dA \le \frac{1}{A(Q_{(n,j,k)})} \int_{Q_{(n-1,j',k')}} |f| dA$$
  
$$\le 4 \frac{1}{A(Q_{(n-1,j',k')})} \int_{Q_{(n-1,j',k')}} |f| dA \le 4,$$

where  $Q_{(n-1,j',k')}$  is the square of rank (n-1) containing  $Q_{(n,j,k)}$ .

Finally, we can write  $\{Mf>1\}\cap\Omega$  as a disjoint union, up to a set of measure 0,

$$\{Mf > 1\} \cap \Omega = \bigsqcup_{l \in L} Q_l,$$

where L is a subset of all the indices (n, j, k), for which:

(3.16) 
$$1 \le \frac{1}{A(Q_l)} \int_{Q_l} |f| \, dA \le 4.$$

Equations (3.14), (3.15) and (3.16) define the Calderón-Zygmund decomposition of the function f.

We are now ready to end the proof of Theorem 2.4.

For  $\lambda \geq 1$ , set  $E_{\lambda} = \{|f| > \lambda\}$ ; one has, by (3.15), Proposition 3.1 and (3.9):

$$\begin{split} \tau_{\alpha}(E_{\lambda} \cap \Omega) &= \tau_{\alpha}(E_{\lambda} \cap \{Mf > 1\} \cap \Omega) = \sum_{l \in L} \tau_{\alpha}(E_{\lambda} \cap Q_{l}) \\ &\leq \frac{K_{\alpha}}{\lambda^{\alpha+2}} \sum_{l \in L} \tau_{\alpha}(Q_{l}) |f(c_{l})|^{\alpha+2} \\ &\leq \frac{K_{\alpha}}{\lambda^{\alpha+2}} \sum_{l \in L} \tau_{\alpha}(Q_{l}) \left(\frac{16}{\pi}\right)^{\alpha+2} = \frac{C_{\alpha}}{\lambda^{\alpha+2}} \sum_{l \in L} \tau_{\alpha}(Q_{l}) \\ &= \frac{C_{\alpha}}{\lambda^{\alpha+2}} \tau_{\alpha}(\{Mf > 1\} \cap \Omega). \end{split}$$

But, on the other hand, the sets  $R_l$  of Lemma 3.5 are disjoint, since  $R_l \subseteq Q_l$ and we have  $|f| > \delta_0 |f(c_l)| > (4/\pi C) \delta_0 := \delta_1$  on  $R_l$ , in view of Lemma 3.5 and Proposition 3.6. Therefore:

$$\tau_{\alpha}(|f| > \delta_{1}) \ge \tau_{\alpha}\left(\bigsqcup_{l} R_{l}\right) = \sum_{l \in L} \tau_{\alpha}(R_{l}) \ge c \sum_{l \in L} \tau_{\alpha}(Q_{l}) = c \,\tau_{\alpha}\left(\bigsqcup_{l \in L} Q_{l}\right)$$
$$= c \,\tau_{\alpha}(\{Mf > 1\} \cap \Omega).$$

We get hence  $\tau_{\alpha}(E_{\lambda} \cap \Omega) \leq \frac{C'_{\alpha}}{\lambda^{\alpha+2}} \tau_{\alpha}(\{|f| > \delta_{1}\})$  for  $\lambda \geq 1$ , with  $C'_{\alpha} = C_{\alpha}/c$ . Applying this to  $f/\delta_{1}$  instead of f, we get:

$$\tau_{\alpha}(\{|f|>\lambda\}\cap\Omega)\leq \frac{C''_{\alpha}}{\lambda^{\alpha+2}}\,\tau_{\alpha}(\{|f|>1\})$$

for  $\lambda > \lambda_1 := 1/\delta_1$ , and that finishes the proof of Theorem 2.4.

## An application to composition operators

In this section, we give an application of our main result to composition operators on weighted Bergman-Orlicz spaces.

Recall that an Orlicz function  $\Psi \colon [0,\infty) \to \mathbb{R}_+$  is a non-decreasing convex function such that  $\Psi(0) = 0$  and  $\Psi(x)/x \to \infty$  as x goes to  $\infty$ . The weighted Bergman-Orlicz space  $\mathfrak{B}^{\Psi}_{\alpha}$  is the space of all analytic functions  $f: \mathbb{D} \to \mathbb{C}$  such that

$$\int_{\mathbb{D}} \Psi(|f|/C) \, d\mathcal{A}_{\alpha} < +\infty$$

for some constant C>0. The norm of f in  $\mathfrak{B}^{\Psi}_{\alpha}$  is the infimum of the constants C for which the above integral is  $\leq 1$ . With this norm,  $\mathfrak{B}^{\Psi}_{\alpha}$  is a Banach space. Now, every analytic self-map  $\varphi\colon \mathbb{D}\to \mathbb{D}$  defines a bounded linear operator  $C_{\varphi}\colon \mathfrak{B}^{\Psi}_{\alpha}\to \mathfrak{B}^{\Psi}_{\alpha}$  by  $C_{\varphi}(f)=f\circ \varphi$ , called the *composition operator of symbol*  $\varphi$ . This is a consequence of the classical Littlewood's subordination principle, using the facts that the measure  $\mathcal{A}_{\alpha}$  is radial and the function  $\Psi(|f|/C)$  is subharmonic for every analytic function  $f: \mathbb{D} \to \mathbb{C}$ . Such an operator may be seen as

a Carleson embedding  $J_{\mu} \colon \mathfrak{B}_{\alpha}^{\Psi} \to L^{\Psi}(\mu)$  for the pull-back measure  $\mu = \varphi(\mathcal{A}_{\alpha})$ . S. Charpentier ([2]), following [6], has characterized the compactness of such embeddings (actually in the more general setting of the unit ball  $\mathbb{B}_{N}$  of  $\mathbb{C}^{N}$  instead of the unit disk  $\mathbb{D}$  of  $\mathbb{C}$ ):

**Theorem 4.1 (S. Charpentier)** For every finite positive measure  $\mu$  on  $\mathbb{D}$  and for every  $\alpha > -1$ , one has:

1) If  $\mathfrak{B}^{\Psi}_{\alpha}$  is compactly contained in  $L^{\Psi}(\mu)$ , then

(4.1) 
$$\lim_{h \to 0} \frac{\Psi^{-1}(1/h^{\alpha+2})}{\Psi^{-1}(1/\rho_{\mu}(h))} = 0.$$

2) Conversely, if

(4.2) 
$$\lim_{h \to 0} \frac{\Psi^{-1}(1/h^{\alpha+2})}{\Psi^{-1}(1/h^{\alpha+2}K_{\mu}(h))} = 0,$$

then  $\mathfrak{B}^{\Psi}_{\alpha}$  is compactly contained in  $L^{\Psi}(\mu)$ .

Here  $\rho_{\mu}$  is the Carleson function of  $\mu$ , defined as:

(4.3) 
$$\rho_{\mu}(h) = \sup_{|\xi|=1} \mu[W(\xi, h)]$$

and

(4.4) 
$$K_{\mu}(h) = \sup_{0 < t < h} \frac{\rho_{\mu}(t)}{t^{\alpha + 2}}.$$

When  $\mu = \varphi(\mathcal{A}_{\alpha})$  is the pull-back measure of  $\mathcal{A}_{\alpha}$  by an analytic self-map  $\varphi \colon \mathbb{D} \to \mathbb{D}$ , we denote them by  $\rho_{\varphi,\alpha+2}$  and  $K_{\varphi,\alpha+2}$  respectively.

We gave in [6], in the non-weighted case, examples showing that conditions (4.1) and (4.2) are not equivalent for general measures  $\mu$ . However, Theorem 1.1 implies that  $K_{\varphi,\alpha+2}(h) \lesssim \rho_{\varphi,\alpha+2}(h)/h^{\alpha+2}$  and so conditions (4.1) and (4.2) are equivalent in this case. Therefore, we get:

**Theorem 4.2** For every  $\alpha > -1$ , every Orlicz function  $\Psi$ , and every analytic self-map  $\varphi \colon \mathbb{D} \to \mathbb{D}$ , the composition operator  $C_{\varphi} \colon \mathfrak{B}_{\alpha}^{\Psi} \to \mathfrak{B}_{\alpha}^{\Psi}$  is compact if and only if:

(4.5) 
$$\lim_{h \to 0} \frac{\Psi^{-1}(1/h^{\alpha+2})}{\Psi^{-1}(1/\rho_{\varphi,\alpha+2}(h))} = 0.$$

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